

# PERSONAL FINANCIAL STATEMENT

Note: A PFS filed with the Texas Ethics Commission must be filed electronically.  
The only exception is for individuals appointed to office. See the PFS Instruction Guide for more information.

**FORM PFS-TEC****COVER SHEET****PAGE 1**

Filed in accordance with chapter 572 of the Government Code.  
For filings required in 2019, covering calendar year ending December 31, 2018.  
Use FORM PFS--INSTRUCTION GUIDE when completing this form.

TOTAL NUMBER OF PAGES FILED:

11

Filer ID

00083122

**1 NAME**

TITLE; FIRST; MI

Executive Commissioner; Courtney N.

NICKNAME; LAST; SUFFIX

Phillips

**2 ADDRESS**

ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE

1807 N. 46th Street  
Austin, Texas 78756

(Check If Filer's Home Address)

**3 TELEPHONE NUMBER**

AREA CODE

PHONE NUMBER; EXTENSION

[REDACTED]

**4 REASON FOR FILING STATEMENT**

- ☐ CANDIDATE \_\_\_\_\_ (INDICATE OFFICE)
- ☐ ELECTED OFFICER \_\_\_\_\_ (INDICATE OFFICE)
- ☐ APPOINTED OFFICER Texas Health and Human Services Comm. (INDICATE AGENCY)
- ☐ EXECUTIVE HEAD \_\_\_\_\_ (INDICATE AGENCY)
- ☐ FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT
- ☐ STATE PARTY CHAIR \_\_\_\_\_ (INDICATE PARTY)
- ☐ OTHER \_\_\_\_\_ (INDICATE POSITION)

**5** Family members whose financial activity you are reporting (see instructions).SPOUSE Not Applicable

DEPENDENT CHILD 1. [REDACTED]

2. \_\_\_\_\_

3. \_\_\_\_\_

**SCANNED****FEB 11 2019**

In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

**COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY**

R: 100738909

# PERSONAL FINANCIAL STATEMENT

COVER SHEET  
PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. ***If you place a check in a box, do NOT include pages for that Part in the report.***

## 6 PARTS NOT APPLICABLE TO FILER

- ☐ N/A Part 1A - Sources of Occupational Income
- ☒ N/A Part 1B - Retainers
- ☒ N/A Part 2 - Stock
- ☒ N/A Part 3 - Bonds, Notes & Other Commercial Paper
- ☐ N/A Part 4 - Mutual Funds
- ☐ N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
- ☐ N/A Part 6 - Personal Notes and Lease Agreements
- ☐ N/A Part 7A - Interests in Real Property
- ☒ N/A Part 7B - Interests in Business Entities
- ☒ N/A Part 8 - Gifts
- ☒ N/A Part 9 - Trust Income
- ☒ N/A Part 10A - Blind Trusts
- ☒ N/A Part 10B - Trustee Statement
- ☒ N/A Part 11A - Ownership of Business Associations
- ☒ N/A Part 11B - Assets of Business Associations
- ☒ N/A Part 11C - Liabilities of Business Associations
- ☒ N/A Part 12 - Boards and Executive Positions
- ☐ N/A Part 13 - Expenses Accepted Under Honorarium Exception
- ☒ N/A Part 14 - Interest in Business in Common with Lobbyist
- ☒ N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
- ☒ N/A Part 16 - Representation by Legislator Before State Agency
- ☒ N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
- ☒ N/A Part 18 - Legislative Continuances
- ☒ N/A Part 19 - Contracts to Sell Goods or Services to a Governmental Entity or  
Governmental Entity Contractor
- ☒ N/A Part 20 - Bond Counsel Fees Paid to Legislator

# SOURCES OF OCCUPATIONAL INCOME

PART 1A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

<b>1</b> INFORMATION RELATES TO	<input type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
<b>2</b> EMPLOYMENT  <input checked="" type="radio"/> EMPLOYED BY ANOTHER          <input type="radio"/> SELF-EMPLOYED	NAME AND ADDRESS OF EMPLOYER / POSITION HELD <input type="checkbox"/> (Check If Filer's Home Address)  CEO, Nebraska Department of Health and Human Services 301 Centennial Mall Station Lincoln, Nebraska 68509  NATURE OF OCCUPATION State Government Agency
INFORMATION RELATES TO	<input type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
EMPLOYMENT  <input type="radio"/> EMPLOYED BY ANOTHER          <input type="radio"/> SELF-EMPLOYED	NAME AND ADDRESS OF EMPLOYER / POSITION HELD <input type="checkbox"/> (Check If Filer's Home Address)          NATURE OF OCCUPATION
INFORMATION RELATES TO	<input type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
EMPLOYMENT  <input type="radio"/> EMPLOYED BY ANOTHER          <input type="radio"/> SELF-EMPLOYED	NAME AND ADDRESS OF EMPLOYER / POSITION HELD <input type="checkbox"/> (Check If Filer's Home Address)          NATURE OF OCCUPATION

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

# MUTUAL FUNDS

## PART 4

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 MUTUAL FUND	NAME Individual Retirement Annuity (IRA) held- Global Real Estate Securities (Russel Invsts) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA).
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
3 NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100 <input type="radio"/> 100 TO 499 <input type="radio"/> 500 TO 999 <input checked="" type="radio"/> 1,000 TO 4,999 <input type="radio"/> 5,000 TO 9,999 <input type="radio"/> 10,000 OR MORE
4 IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
MUTUAL FUND	NAME IRA held - INDEX 400 Stock (MSA) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA).
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100 <input type="radio"/> 100 TO 499 <input type="radio"/> 500 TO 999 <input type="radio"/> 1,000 TO 4,999 <input checked="" type="radio"/> 5,000 TO 9,999 <input type="radio"/> 10,000 OR MORE
IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
MUTUAL FUND	NAME IRA held - INDEX 500 Stock (MSA)-B (Please note: All funds listed are held through Northwestern Mutual as an IRA).
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100 <input type="radio"/> 100 TO 499 <input type="radio"/> 500 TO 999 <input type="radio"/> 1,000 TO 4,999 <input checked="" type="radio"/> 5,000 TO 9,999 <input type="radio"/> 10,000 OR MORE
IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

# MUTUAL FUNDS

## PART 4

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 MUTUAL FUND	NAME IRA - held- INDEX 6000 Stock (MSA) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA).
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
3 NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100 <input type="radio"/> 100 TO 499 <input type="radio"/> 500 TO 999 <input type="radio"/> 1,000 TO 4,999 <input checked="" type="radio"/> 5,000 TO 9,999 <input type="radio"/> 10,000 OR MORE
4 IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
MUTUAL FUND	NAME IRA - HELD - International Equity (MSA/Franklin Templeton) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA).
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100 <input type="radio"/> 100 TO 499 <input type="radio"/> 500 TO 999 <input checked="" type="radio"/> 1,000 TO 4,999 <input type="radio"/> 5,000 TO 9,999 <input type="radio"/> 10,000 OR MORE
IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
MUTUAL FUND	NAME IRA - Held - International Growth (MSA/FIAM LLC) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA).
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100 <input type="radio"/> 100 TO 499 <input type="radio"/> 500 TO 999 <input type="radio"/> 1,000 TO 4,999 <input checked="" type="radio"/> 5,000 TO 9,999 <input type="radio"/> 10,000 OR MORE
IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

# MUTUAL FUNDS

## PART 4

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 MUTUAL FUND	NAME IRA - held - Select Bond (MSA/Wells Capital Management Inc. ) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA).			
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER	<input type="checkbox"/> SPOUSE	<input type="checkbox"/> DEPENDENT CHILD _____	
3 NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100	<input type="radio"/> 100 TO 499	<input type="radio"/> 500 TO 999	<input checked="" type="radio"/> 1,000 TO 4,999
	<input type="radio"/> 5,000 TO 9,999	<input type="radio"/> 10,000 OR MORE		
4 IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000	<input type="radio"/> \$5,000--\$9,999	<input type="radio"/> \$10,000--\$24,999	<input type="radio"/> \$25,000--OR MORE

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MUTUAL FUND	NAME			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input type="checkbox"/> FILER	<input type="checkbox"/> SPOUSE	<input type="checkbox"/> DEPENDENT CHILD _____	
NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100	<input type="radio"/> 100 TO 499	<input type="radio"/> 500 TO 999	<input type="radio"/> 1,000 TO 4,999
	<input type="radio"/> 5,000 TO 9,999	<input type="radio"/> 10,000 OR MORE		
IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000	<input type="radio"/> \$5,000--\$9,999	<input type="radio"/> \$10,000--\$24,999	<input type="radio"/> \$25,000--OR MORE

---

MUTUAL FUND	NAME			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<input type="checkbox"/> FILER	<input type="checkbox"/> SPOUSE	<input type="checkbox"/> DEPENDENT CHILD _____	
NUMBER OF SHARES OF MUTUAL FUND	<input type="radio"/> LESS THAN 100	<input type="radio"/> 100 TO 499	<input type="radio"/> 500 TO 999	<input type="radio"/> 1,000 TO 4,999
	<input type="radio"/> 5,000 TO 9,999	<input type="radio"/> 10,000 OR MORE		
IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000	<input type="radio"/> \$5,000--\$9,999	<input type="radio"/> \$10,000--\$24,999	<input type="radio"/> \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

**INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS****PART 5**

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

List each source of income you, your spouse, or a dependent child received *in excess of \$500* that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

<b>1</b> <b>SOURCE OF INCOME</b> <input type="checkbox"/> Publicly held corporation	NAME AND ADDRESS Reginal White (Rental Property) 37234 Saint Maria Ave. Prairieville, LA 70769
<b>2</b> <b>RECEIVED BY</b>	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
<b>3</b> <b>AMOUNT</b>	<input type="radio"/> \$500--\$4,999 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input checked="" type="radio"/> \$25,000--OR MORE
<b>SOURCE OF INCOME</b> <input type="checkbox"/> Publicly held corporation	NAME AND ADDRESS
<b>RECEIVED BY</b>	<input type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
<b>AMOUNT</b>	<input type="radio"/> \$500--\$4,999 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
<b>SOURCE OF INCOME</b> <input type="checkbox"/> Publicly held corporation	NAME AND ADDRESS
<b>RECEIVED BY</b>	<input type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
<b>AMOUNT</b>	<input type="radio"/> \$500--\$4,999 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
<b>COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY</b>	

## PERSONAL NOTES AND LEASE AGREEMENTS

## PART 6

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of *more than \$1,000* in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

<sup>1</sup> PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Courtney N. Phillips - monthly lease at 6807 Mimosa Lane, Lincoln NE 68521
<sup>2</sup> LIABILITY OF	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
<sup>3</sup> GUARANTOR	Wakefield Management
<sup>4</sup> AMOUNT	<input checked="" type="radio"/> \$1,000--\$4,999 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Courtney N. Phillips - monthly lease at 1807 N. 46th Street, Austin, Texas 78756
LIABILITY OF	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
GUARANTOR	Volente Enterprises - monthly lease amount shown below
AMOUNT	<input checked="" type="radio"/> \$1,000--\$4,999 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	
LIABILITY OF	<input type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
GUARANTOR	
AMOUNT	<input type="radio"/> \$1,000--\$4,999 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE

**COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY**



# INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 HELD OR ACQUIRED BY	<input checked="" type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
2 STREET ADDRESS <input type="checkbox"/> NOT AVAILABLE <input type="checkbox"/> (Check If Filer's Home Address)	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 37234 Saint Maria Ave Prairieville, LA 70769
3 DESCRIPTION <input type="radio"/> LOTS <input type="radio"/> ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED Ascension on Parish - 1 lot
4 NAMES OF PERSONS RETAINING AN INTEREST <input type="checkbox"/> NOT APPLICABLE (SEVERED MINERAL INTEREST)	
5 IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE
HELD OR ACQUIRED BY	<input type="checkbox"/> FILER <input type="checkbox"/> SPOUSE <input type="checkbox"/> DEPENDENT CHILD _____
STREET ADDRESS <input type="checkbox"/> NOT AVAILABLE <input type="checkbox"/> (Check If Filer's Home Address)	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE
DESCRIPTION <input type="radio"/> LOTS <input type="radio"/> ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED
NAMES OF PERSONS RETAINING AN INTEREST <input type="checkbox"/> NOT APPLICABLE (SEVERED MINERAL INTEREST)	
IF SOLD <input type="radio"/> NET GAIN <input type="radio"/> NET LOSS	<input type="radio"/> LESS THAN \$5,000 <input type="radio"/> \$5,000--\$9,999 <input type="radio"/> \$10,000--\$24,999 <input type="radio"/> \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

**EXPENSES ACCEPTED UNDER HONORARIUM EXCEPTION****PART 13**

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.**

Identify any person who provided you with necessary transportation, meals, or lodging, as permitted under section 36.07(b) of the Penal Code, in connection with a conference or similar event in which you rendered services, such as addressing an audience or participating in a seminar, that were more than perfunctory. Also provide the amount of the expenditures on transportation, meals, or lodging. You are not required to include items you have already reported as political contributions on a campaign finance report, or expenditures required to be reported by a lobbyist under the lobby law (chapter 305 of the Government Code). For more information, see FORM PFS--INSTRUCTION GUIDE.

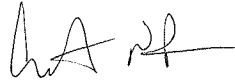
<b>1</b> PROVIDER	NAME AND ADDRESS Date: May 4-13, 2018 Event: Taiwan Health & Well-Being Symposium Organization: Duke University & Taiwan Ministry of Health and Welfare – Dr. Pikuei Tu, Duke University, 2204 Erwin Rd., Box 90402, Durham, NC 27708-0402 Event Location: Taipei, Taiwan
<b>2</b> AMOUNT	Expenses Covered Directly: airfare, hotel, and meals
PROVIDER	NAME AND ADDRESS Date: August 24-28, 2018 Event: Henry Toll Fellowship Organization: Council of State Governments, 1776 Avenue of the States, Lexington, KY 40511 Event Location: Lexington, KY
AMOUNT	Expenses Covered Directly: hotel (\$635), meals (\$800), local transportation (\$165)
PROVIDER	NAME AND ADDRESS Date: December 7-9, 2018 Event: Council of State Governments Meeting Organization: Council of State Governments - 1776 Avenue of the States, Lexington, KY 40511 Event Location: Covington, Kentucky
AMOUNT	Expenses Covered Directly: hotel, meals during event, and transportation - (\$581.00)
PROVIDER	NAME AND ADDRESS
AMOUNT	

**COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY**

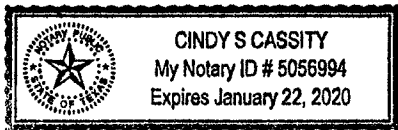
# PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verified. The verification page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2017 and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.



Signature of Filer



AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said Courtney N. Pauls, this the 11th day of February, 20 19, to certify which, witness my hand and seal of office.

  
Signature of officer administering oath

CINDY S. CASSITY  
Printed name of officer administering oath

Notary  
Title of officer administering oath